



Questionnaire for risk analysis & profiling of the client

Name of the Applicant: _____

Father/ Spouse Name: _____

Date Of Birth : _____ (dd/mm/yyyy) Gender Male Female

PAN number : _____

Address : _____
_____ City _____

Mobile No : 1. _____, 2. _____

Question	Tick as applicable
1. What is your age group?	
A) Below 20 Year	
B) 20 to 30 Year	
C) 30 to 65 Year	
D) Above 65 Year	

2. What is your occupation?	
A) Self employed or officer line	
B) Employees	
C) Student or senior citizen	

D) Business man who have more than a business	
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3. Your involvement on trading	
A) Rarely traded	
B) Traded sometimes	
C) You are a full time trader	
D) You are a part time trader	

4. You spend time (Experience) in market	
A) 2 to 5 year	
B) More than 5 year	
C) Less than 1 year	
D) 1 to 2 year	

5. Chose your desired time period for investment	
A) Intraday	
B) 15 to 30 days	
C) 2 to 5 days	
D) 5 to 15 days	

6. Purpose of your investment, in terms of earning profit	
A) 10 to 20 % Monthly return on investment	
B) More than 40 % Monthly return on investment	
C) 20 to 30 % Monthly return on investment	
D) 30 to 40 % Monthly return on investment	

7. Your yearly income by all sources	
A) More than 10 lakh	
B) 5 to 10 lakh	
C) 3 to 5 lakh	
D) Below 3 lakh	

8. Your existing investment / assets	
A) 2 to 5 lakh	
B) 5 to 10 lakh	
C) Below 2 lakh	
D) More than 10 lakh	

9. Your risk tolerance capacity, in terms of loss in your investment amount which You can bear	
A) less than 20 % on your investment amount	

B) More than 40 % on your investment amount	
C) 30 to 40 % on your investment amount	
D) 20 to 30 % on your investment amount	

10. The % of borrowing fund on your total investment amount	
A) 30 to 40 % on your investment amount	
B) 20 to 30 % on your investment amount	
C) Less than 20 % on your investment amount	
D) More than 40 % on your investment amount	

11. What is the size of your emergency fund	
A) less than 1 month	
B) 1-3 month income	
C) 3-6 month income	
D) more than 6 month	

Clients with Long term Investment goal or capital appreciation as investment objectives are not accepted at Ripples Advisory Pvt Ltd.

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DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Name of the Applicant

Signature of the Applicant

Date: _____